00000412150

UNITED STATES SENATE FINANCIAL DISCLOSURE REPORT
FOR NEW EMPLOYEE AND CANDIDATE REPORTS

Last Name	First Name and Middle Initial	New Employee Report Date of Employment (mm/dd/yy):	Senate Office / Agency in Which Employed
Rubio	Marco A.		
Senate/Candidate Office Address (Number, Street, City, State, and ZIP)	**Senate/Candidate Office Telephone No.**	**Candidate Report** **Commencement of Candidacy (mm/dd/yy):**	**State in which you are a candidate**
4027 S. Lejeune Road Coral Gables, FL 33146	305-670-5255	2/3/09	FLORIDA

AFTER READING THE INSTRUCTIONS - ANSWER EACH OF THESE QUESTIONS

	YES	NO
Did you or your spouse have earned income (e.g., salaries or fees) or non-investment income of more than $200 from any reportable source in the reporting period? If Yes, Complete and Attach PART II.	X	
Did you, your spouse, or dependent child hold any reportable asset worth more than $1,000 at the end of the period or receive unearned or investment income of more than $200 in the reporting period? If Yes, Complete and Attach PART IIIA and/or IIIB.	X	
Did you, your spouse, or dependent child have any reportable liability (more than $10,000) during the reporting period? If Yes, Complete and Attach PART VII.	X	
Did you hold any reportable positions during the reporting period? If Yes, Complete and Attach PART VIII.	X	
Did you have any reportable agreement or arrangement with an outside entity on the filing date? If Yes, Complete and Attach PART IX.	X	
Did you receive compensation of more than $5,000 from a single source in the two prior years? If Yes, Complete and Attach PART X.	X	

Each question must be answered and the appropriate PART attached for each "YES" response.

File this report and any amendments with the Secretary of the Senate, Office of Public Records, Room 232, Hart Senate Office Building, U.S. Senate, Washington, DC 20510. $200 Penalty for filing more than 30 days after due date.

This Financial Disclosure Statement is required by the Ethics in Government Act of 1978, as amended. The statement will be made available by the Office of the Secretary of the Senate to any requesting person upon written application and will be reviewed by the Select Committee on Ethics. Any individual who knowingly and willfully falsifies, or who knowingly and willfully fails to file this report may be subject to civil and criminal sanctions. (See 5 U.S.C. app. 6, 104, and 18 U.S.C. 1001.)

FOR OFFICIAL USE ONLY
Do Not Write Below this Line

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SECRETARY OF THE SENATE
PUBLIC RECORDS
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Certification	Signature of Reporting Individual	Date (Month, Day, Year)
I CERTIFY that the statements I have made on this form and all attached schedules are true, complete and correct to the best of my knowledge and belief.	[redacted]	05-09-09

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	Signature of Reviewing Official	Date (Month, Day, Year)
It is the Opinion of the reviewer that the statements made in this form are in compliance with Title I of the Ethics in Government Act. AB		

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Reporting Individual's Name: Marco Rubio

PART II. EARNED AND NON-INVESTMENT INCOME

Page Number: 2

Report the source (name and address), type, and amount of earned income to you from any source aggregating $200 or more during the reporting period. For your spouse, report the source (name and address) and type of earned income which aggregate $1,000 or more during the reporting period. No amount needs to be specified for your spouse. (See p.3, CONTENTS OF REPORTS Part B of Instructions.) Do not report income from employment by the U.S. Government for you or your spouse.

Individuals not covered by the Honoraria Ban:
For you and /or your spouse, report honoraria income received which aggregates $200 or more by exact amount, give the date of, and describe the activity (speech, appearance or article) generating such honoraria payment. Do not include payments in lieu of honoraria reported on Part I.

	Name of Income Source	Address (City, State)	Type of Income	Amount
Example:	*JP Computers*	*Wash., DC Example*	*Salary Example*	*$15,000*
	MCI (Spouse)	*Arlington, VA Example*	*Salary Example*	*Over $1,000*
1	Broad & Cassel Law Firm	2 S. Biscayne Blvd. Miami FL 33131	Salary	250,000
2	Florida Strategic Consultants	2 S. Biscayne Blvd. Miami FL 33131	Salary	100,000
3	Florida International University Metro Center	150 SE 2nd Avenue #500 Miami FL 33131	Salary	69,000
4	State of Florida, House of Representatives	111 W. Madison Street Tallahassee, FL	Salary	37,500
5	Rubio Consulting	6060 SW 13th Street Miami FL 33144	Distribution	15,000
6	Marco Rubio, P.A.	6060 SW 13th Street Miami FL 33144	Salary	15,000
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Reporting Individual's Name: Marco Rubio

PART IIIA. PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number: 3

BLOCK A
Identity of Publicly Traded Assets And Unearned Income Sources

Report the complete name of each publicly traded asset held by you, your spouse, or your dependent child, *(See p.3, CONTENTS OF REPORTS Part B of Instructions)* for production of income or investment which:
(1) had a value exceeding $1,000 at the close of the reporting period; and/or
(2) generated over $200 in "unearned" income during the reporting period.
Include on this PART IIIA a complete identification of each public bond, mutual fund, publicly traded partnership interest, excepted investment funds, bank accounts, excepted and qualified blind trusts, and publicly traded assets of a retirement plan.

BLOCK B
Valuation of Assets

At the close of reporting period.
If None, or less than $1,001, Check the first column.

BLOCK C
Type and Amount of Income

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

	Example: S, DC, or J	Block A: Identity of Publicly Traded Assets And Unearned Income Sources	Block B: Valuation of Assets — None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 – $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Block C: Type of Income — None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	Amount of Income — None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	Over $5,000,000	Actual Amount Required if "Other" Specified
		IBM Corp. (stock)				X										X							Example		X									Example
	(S)	Keystone Fund					X													X			Example	X										Example
1		[redacted]	X																															
2		CITIBANK (SAVINGS) CORAL GABLES FL		X																				X										
3		CITIBANK (MONEY MKT) CORAL GABLES, FL		X																				X										
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EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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Reporting Individual's Name: Marco Rubio

PART IIIB. NON-PUBLICLY TRADED ASSETS AND UNEARNED INCOME SOURCES

Page Number: 4

BLOCK A — Identity of Non-Publicly Traded Assets and Unearned Income Sources

Report the name, address (city, state and description) of each interest held by you, your spouse, or your dependent child (See p.3, CONTENTS OF REPORTS Part B of Instructions) for the production of income or investment in a non-public trade or business which:

(1) had a value exceeding $1,000 at the close of the reporting period; and/or

(2) generated over $200 in "unearned" income during the reporting period.

Include the above report for each underlying asset, which is not incidental to the trade or business. Publicly traded assets held by non-public entity may be listed on Part IIIA.

BLOCK B — Valuation of Assets

At the close of reporting period. If None, or less than $1,001, Check the first column.

BLOCK C — Type and Amount of Income

If "None (or less than $201)" is Checked, no other entry is needed in Block C for that item. This includes income received or accrued to the benefit of the individual.

	Block A	None (or less than $1,001)	$1,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 – $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000	Type of Income: None	Dividends	Rent	Interest	Capital Gains	Excepted Investment Fund	Excepted Trust	Qualified Blind Trust	Other (Specify Type)	Amount of Income: None (or less than $201)	$201 - $1,000	$1,001 - $2,500	$2,501 - $5,000	$5,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	Over $5,000,000	Actual Amount Required if "Other" Specified
Example: S, DC, or J	JP Computer, Software Design, Wash DC				X										X							Example		X										Example
	Undeveloped land, Dubuque, Iowa					X																Example	X											Example
1	Marco Rubio P.A. Miami, FL	X																																
2	Rubio Consulting Miami, FL	X																																
3																																		
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EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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Reporting Individual's Name: MARCO Rubio

PART VII. LIABILITIES

Page Number: 5

Report liabilities over $10,000 owed by you, your spouse, or dependent child (See p.3 CONTENTS OF REPORTS Part B of Instructions), to any one creditor at any time during the reporting period. Check the highest amount owed during the reporting period. Exclude: (1) Mortgages on your personal residences unless rented; (2) loans secured by automobiles, household furniture or appliances; and (3) liabilities owed to certain relatives listed in Instructions. See Instructions for reporting revolving charge accounts.

	Name of Creditor	Address	Type of Liability	Date Incurred	Interest Rate	Term if Applicable	Category of Amount of Value (x)										
							$10,001 - $15,000	$15,001 - $50,000	$50,001 - $100,000	$100,001 - $250,000	$250,001 - $500,000	$500,001 - $1,000,000	Over $1,000,000***	$1,000,001 - $5,000,000	$5,000,001 - $25,000,000	$25,000,001 - $50,000,000	Over $50,000,000
Example: S, DC, or J	*First District Bank*	*Wash., DC*	*Mortgage on undeveloped land*	*1991*	*13%*	*25yrs*			X		E	X	A	M	P	L	E
Example: S, DC, or J	*(J) John Jones*	*Wash., DC*	*Promissory Note*	*1999*	*10%*	*On dmd*				X	E	X	A	M	P	L	E
1	GMAC Mortgage	PO Box 9001719 Louisville, KY 40290	Mortgage on 2nd home	2005	7.0	25yrs				X							
2	Sallie Mae	Wilkes Barre, PA	Student Loan	1996	4.0	30yrs				X							
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EXEMPTION TEST *(see instructions before marking box)*: If you omitted any asset because it meets the three-part test for exemption described in the instructions, please check box to the right. ☐

*** This category applies only if the asset is/was held independently by the spouse or dependent child. If the asset is/was either held by the filer or jointly held, use the other categories of value, as appropriate.

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Reporting Individual's Name: Marco Rubio

PART VIII. POSITIONS HELD OUTSIDE U.S. GOVERNMENT

Page Number: 6

Report any positions held by you during the applicable reporting period whether compensated or not. Positions include, but are not limited to those of an officer, director, trustee, general partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise or any non-profit organization or educational institution. Both the year and month must be reported for the period of time that the position was held.

Exclude: Positions with federal government, religious, social, fraternal, or political entities, and those solely of an honorary nature.

	Name of Organization	Address (City, State)	Type of Organization	Position Held	From (Mo/Yr)	To (Mo/Yr)
Example:	*National Assn. of Rock Collectors*	*NY,NY* **EXAMPLE**	*Non-profit education*	*President*	*6 / 90*	*Present*
	Jones & Smith	*Hometown, USA* **EXAMPLE**	*Law Firm*	*Partner*	*7 / 95*	*11 / 0X*
1	Marco Rubio P.A.	Miami, FL	Law Firm	President	06/08	Present
2	Rubio Consulting	Miami, FL	Consulting Firm	President	06/08	Present
3	Florida Int'l University (FIU)	Miami, FL	University	Visiting Professor	06/08	Present
4	Florida House of Representatives	Tallahassee, FL	State Government	Legislator/Speaker	01/00	11/08
5	Alfalit Int'l	Miami, FL	Non-Profit	Director	09/08	Present
6	Latin Builder Association	Miami, FL	Non-Profit	Director	07/08	Present
7	Broad + Cassel Law Firm	Miami, FL	Law Firm	OF Counsel	07/04	Present
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Compensation in excess of $200 from any position must be reported in Part II.

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Reporting Individual's Name: Marco Rubio

PART IX. AGREEMENTS OR ARRANGEMENTS

Page Number: 7

Report your agreements or arrangements for future employment (including agreements with a publisher for writing a book or sale of other intellectual property), leaves of absence, continuation of payment by a former employer (including severance payments), or continuing participation in an employee benefit plan. See Instructions regarding the reporting of negotiations for any of these arrangements or benefits.

	Status and Terms of any Agreement or Arrangement	Parties	Date
Example:	*Pursuant to partnership agreement, will receive lump sum payment of capital account & partnership share calculated on services performed through 11/0X and retained pension benefits (diversified, independently managed, fully funded, defined contribution plan)*	*Jones & Smith, Hometown, USA* **Example**	*1/93*
	Employment agreement with XYZ Co. to become Vice President of Government Relations. Terms of agreement include salary between $50,001-$100,000, signing bonus between $2,501-$5,000 and stock options	*XYZ Co., Bethesda, MD* **Example**	*1/0X*
1	Contract between FIU & Marco Rubio for Academic Year 09-2010	Florida International University + Marco Rubio	08/09-05/10
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Reporting Individual's Name	PART X. COMPENSATION IN EXCESS OF $5,000 PAID BY ONE SOURCE	Page Number
Marco Rubio		8

FIRST TIME FILERS ONLY:

Report sources of compensation received by you or your business affiliation for services provided directly by you during the reporting period. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise, or .any non-profit organization when you directly provided the services to the clients and/or customers of the firm that generated a fee or payment of more than $5,000. You need not report the U.S. Government as a source.

	Name of Source	Address of Source	Brief Description of Duties
Example:	*Jones & Smith*	*Hometown, TX*	*Legal Services* **EXAMPLE**
	Metro University (client of Jones & Smith	*Moneytown, USA*	*Legal Services in connection with university construction* **EXAMPLE**
1	Marin + Sons	Miami, FL	Consulting Services
2	Court Options	Miami, FL	Legal Services
3	Univision Network	Miami, FL	On-air Political Analyst
4	Miami Childrens Hospital	Miami, FL	Legal Services
5	Larkin Community Hospital	Miami, FL	Legal Services
6	Hypower Electric	Miami, FL	Legal Services
7	Jackson Memorial Hospital	Miami, FL	Legal Services
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